



AGILE LEADERS
Training Center

20 - 24 Jul 2027

دبي

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Course Overview:

The ISO 37301 Lead Implementer Compliance Management Training Course is an intensive five-day programme designed to develop the practical competence required to initiate, plan, implement, operate, monitor, and continually improve a Compliance Management System based on ISO 37301. The course is structured around the current PECB ISO 37301 Lead Implementer competency framework, covering fundamental CMS concepts, implementation initiation, planning, operational implementation, monitoring, continual improvement, and certification audit preparation.

Through the ISO 37301 Lead Implementer Training, participants move beyond general compliance theory to understand how a structured CMS is translated into organizational governance, policies, responsibilities, risk-based controls, reporting mechanisms, investigations, and measurable improvement. The course integrates practical insights from international guidance on ethical leadership, compliance risk assessment, corporate integrity, internal controls, whistleblowing, business partner management, and programme effectiveness. The supporting materials emphasize that an effective compliance programme requires leadership commitment, clearly assigned responsibilities, risk-based implementation, internal communication, monitoring, and continuous evaluation.

This ISO 37301 Implementation Training is particularly valuable for professionals responsible for Compliance Management System Implementation, regulatory compliance, corporate governance, compliance risk management, and certification readiness. Participants gain a structured approach for developing a CMS that is aligned with organizational context, compliance obligations, business risks, and operational realities.

Target Audience:

- Compliance Managers and Compliance Officers
- Chief Compliance Officers
- Governance, Risk, and Compliance Professionals
- Legal and Regulatory Affairs Professionals
- Risk Managers and Enterprise Risk Professionals
- Internal Auditors and Internal Control Specialists
- Ethics and Integrity Officers
- Corporate Governance Professionals
- Management System Consultants
- ISO Management System Implementers
- Regulatory Compliance Specialists

Targeted Organizational Departments:

- Compliance and Ethics
- Legal and Regulatory Affairs
- Governance, Risk, and Compliance
- Enterprise Risk Management
- Internal Audit and Internal Controls
- Corporate Governance
- Quality and Management Systems
- Procurement and Supply Chain
- Human Resources
- Finance and Accounting
- Operations and Business Process Management
- Executive Management and Board Support Functions

Targeted Industries:

- Banking, Financial Services, and Insurance
- Government and Public Sector Organizations
- Oil, Gas, Energy, and Utilities
- Healthcare and Pharmaceuticals
- Telecommunications and Technology
- Construction, Engineering, and Infrastructure
- Manufacturing and Industrial Operations
- Transportation and Logistics
- Professional and Business Services

Course Offerings:

By the end of this course, participants will be able to:

- Interpret the fundamental principles, concepts, and requirements of an ISO 37301-based Compliance Management System.
- Distinguish between a standalone compliance programme and a structured CMS.
- Initiate an ISO 37301 implementation project and develop a viable implementation approach.
- Establish a business case, implementation governance structure, roles, responsibilities, and compliance function.
- Analyze organizational context, interested parties, compliance obligations, and compliance risks.
- Conduct an initial CMS gap analysis and define an appropriate implementation scope.
- Establish compliance objectives, policies, procedures, operational controls, and documented information.
- Develop compliance training, awareness, and communication programmes.
- Implement reporting, raising-concern, investigation, and noncompliance management processes.
- Manage third-party, outsourced, contractual, and external-provider compliance risks.

Training Methodology:

The ISO 37301 Lead Implementer Course uses a practical, implementation-oriented methodology that combines structured instruction with workplace application. Each training day develops a specific stage of the CMS implementation lifecycle, progressing from fundamental compliance concepts to planning, implementation, performance evaluation, continual improvement, and Compliance Audit Preparation.

Participants work through practical implementation scenarios involving compliance obligations, organizational context, compliance risk assessment, implementation planning, policies, third-party controls, reporting channels, investigations, monitoring, and corrective actions. International compliance guidance reinforces the learning approach by illustrating the importance of ethical leadership, risk-based programme design, stakeholder involvement, internal controls, communication, training, reporting mechanisms, and continuous programme evaluation.

Learning methods include facilitator-led discussions, implementation case studies, group exercises, gap-analysis scenarios, compliance risk mapping, policy-development examples, and guided review of management system documentation. Participants examine how compliance requirements can be integrated into everyday business operations instead of operating as a disconnected control function.

The methodology also uses reflective review sessions to consolidate learning at the end of each day. The final day connects the full implementation lifecycle with the PECB competency domains and certification examination relevant for professionals who need both [ISO 37301 CMS Training](#) framework. This approach makes the implementation knowledge and practical organizational application.

Course Toolbox:

Participants will receive guidance, insights, and examples related to tools commonly used in an ISO 37301 ; not provided as proprietary software or guaranteed operational systems implementation project. These tools are instead, the course introduces practical examples, structures, and approaches relevant to the implementation of a CMS.

- ISO 37301 CMS implementation roadmap example •
- CMS implementation project plan example •
- Organizational context analysis framework •
- Interested-party analysis example •
- Compliance obligations register example •
- CMS gap-analysis approach •
- Compliance risk assessment methodology •
- Compliance risk register example •
- Compliance risk heat map example •
- CMS scope definition guide •
- Compliance objectives and KPI examples •

Course Agenda:

Day 1: ISO 37301 Fundamentals and Initiation of a CMS Implementation

- ISO 37301 Principles, Concepts, Terminology, and the Compliance Management System Topic 1: •
Framework
- Compliance Obligations, Regulatory Requirements, International Standards, Contracts, and Internal Topic 2: •
Policies
- Compliance Programmes versus an ISO 37301 Compliance Management System Topic 3: •
- Initiating the CMS Implementation Project and Selecting the Implementation Approach Topic 4: •
- Building the CMS Business Case, Project Governance, and Implementation Objectives Topic 5: •
- Leadership, Governing Body Responsibilities, Compliance Culture, and the Compliance Function Topic 6: •
- Reviewing the foundations of the ISO 37301 Lead Implementer role and translating Reflection & Review: •
compliance principles into an organizational implementation mandate

Day 2: Planning the ISO 37301 Compliance Management System Implementation

- Understanding Organizational Context and Identifying Relevant Interested Parties Topic 1: •
- Identifying, Analyzing, and Maintaining Compliance Obligations Topic 2: •
- Conducting a CMS Gap Analysis and Defining the Implementation Scope Topic 3: •
- Compliance Risk Assessment, Inherent Risk, Controls, and Residual Risk Topic 4: •
- Establishing Compliance Objectives, Resources, Competence, and Implementation Priorities Topic 5: •
- Developing the CMS Project Plan, Documented Information, Training, Awareness, and Topic 6: •
Communication
- Converting organizational context, obligations, and compliance risks into a structured Reflection & Review: •
ISO 37301 implementation plan

Day 3: Implementing and Operating the Compliance Management System

- Designing and Implementing CMS Processes, Procedures, and Operational Compliance Controls Topic 1: •
- Developing and Communicating the Compliance Policy and Code of Conduct Topic 2: •
- Integrating Compliance Requirements into Procurement, Operations, Commercial Activities, and Topic 3: •
Contracts
- Managing Third Parties, External Providers, Outsourced Processes, and Business Partners Topic 4: •
- Establishing Raising-Concern, Speak-Up, Reporting, and Whistleblowing Processes Topic 5: •
- Managing Investigations, Suspected Noncompliance, Actual Violations, and Organizational Topic 6: •
Response
- Evaluating whether compliance policies and controls operate effectively across real Reflection & Review: •
business processes and external relationships

Day 4: Monitoring, Measurement, Continual Improvement, and Audit Readiness

- Monitoring, Measuring, Analyzing, and Evaluating CMS Performance and Effectiveness Topic 1: •
- Compliance Indicators, Objectives, Reporting, and Evidence of CMS Performance Topic 2: •
- Designing and Managing the CMS Internal Audit Programme Topic 3: •
- Conducting Management Review and Evaluating CMS Suitability, Adequacy, and Effectiveness Topic 4: •
- Managing Nonconformities, Root Causes, Corrective Actions, and Continual Improvement Topic 5: •
- Preparing the Compliance Management System for the ISO 37301 Certification Audit Topic 6: •
- Integrating performance evaluation, corrective action, and certification readiness into a [Reflection & Review](#): •
- sustainable compliance improvement cycle

Day 5: ISO 37301 Lead Implementer Certification and Competency Review

- Review of Domain 1: Fundamental Principles and Concepts of a Compliance Management System Topic 1: •
- Review of Domains 2 and 3: CMS Implementation Initiation and Planning Topic 2: •
- Review of Domain 4: Operational Implementation of the Compliance Management System Topic 3: •
- Review of Domains 5 and 6: Monitoring, Measurement, and Continual Improvement Topic 4: •
- Review of Domain 7: Preparing for an ISO 37301 Certification Audit Topic 5: •
- PECB Examination Preparation and Certification Examination Topic 6: •
- Consolidating the complete ISO 37301 Lead Implementer competency framework and [Reflection & Review](#): •
- reflecting on how to apply the implementation lifecycle within the participant's organization

FAQ:

What specific qualifications or prerequisites are needed for participants before enrolling in the course?

Participants should ideally have a general understanding of compliance, governance, risk management, internal controls, regulatory requirements, or management systems. Previous experience with ISO standards or [ISO 37301 Lead](#) organizational compliance is beneficial but not mandatory. Professionals preparing for the will benefit from reviewing the basic principles of management systems and [Implementer Certification Training](#) compliance management before attending.

How long is each day's session, and is there a total number of hours required for the entire course?

Each day's session is generally structured to last around 4-5 hours, with breaks and interactive activities included. The total course duration spans five days, approximately 20-25 hours of instruction.

What is the difference between a compliance programme and an ISO 37301 Compliance Management System?

A compliance programme may consist of individual policies, controls, training activities, reporting channels, or regulatory initiatives. An ISO 37301-based Compliance Management System is broader and more systematic. It integrates organizational context, leadership, compliance obligations, risk assessment, objectives, operational controls, performance evaluation, internal audit, management review, and continual improvement into a structured management system.

This distinction is specifically included within the PECB Lead Implementer competency framework. The wider international compliance guidance also demonstrates that effective compliance requires interconnected elements such as leadership, risk assessment, policies, oversight, communication, reporting, investigations, business partner controls, and periodic evaluation rather than isolated compliance activities.

How This Course is Different from Other ISO 37301 Lead Implementer Courses:

The ISO 37301 Lead Implementer Compliance Management Training Course is designed around the full implementation lifecycle rather than treating ISO 37301 as a clause-by-clause theoretical standard. Its structure follows the current PECB competency domains while integrating practical international guidance on corporate integrity, ethical leadership, compliance risk management, internal controls, reporting, investigations, third-party relationships, monitoring, and continual improvement.

A major differentiator is the course's focus on how a CMS actually operates within an organization. Participants examine how to initiate an implementation project, build a business case, define governance, assess compliance risks, develop policies, establish operational controls, manage external parties, investigate concerns, measure performance, and prepare for certification.

The course also incorporates contemporary compliance perspectives. The updated UNODC and UN Global Compact guidance emphasizes integrated governance, stakeholder involvement, ethical leadership, risk assessment, whistleblowing, business partner compliance, programme effectiveness, continuous improvement, and emerging issues affecting modern compliance environments.

As a result, this is not only an ISO 37301 Certification Course or exam-oriented programme. It is a practical Compliance Management System Implementation course designed to help participants understand how to transform ISO 37301 requirements into a credible, risk-based, measurable, and continually improving organizational compliance system.

فئات الدورات التدريبية

الدورات التدريبية في مجال البيئة والاستدامة	دورات إدارة الجودة وتطوير العمليات
دورات إدارة و تحليل البيانات ودورات علم البيانات	دورات إدارة و تطوير الموارد البشرية
دورات الذهن السيرياني ودورات تقنية المعلومات	دورات الاتصال الجماهيري و السياسات والعلاقات العامة
دورات التدريب القانوني والهشتريات والتعاقدات	دورات التسويق وإدارة علاقات العملاء وإدارة المبيعات
دورات الحوكمة وإدارة المخاطر والامتثال	دورات السكرتارية و إدارة المكاتب
دورات الصحة والسلامة والذهن المهني	دورات الصيانة ودورات المجالات الهندسية المتنوعة
دورات المحاسبة و التهويل و دورات الإدارة المالية	دورات المهارات الشخصية وتطوير الذات
دورات في مجالات القيادة والإدارة	دورات معتمدة من قبل هيئات دولية
دورات مكتب إدارة المشاريع وإدارة المشاريع الرشيقية	



معدن التدريب

أكرا غانا	أثينا اليونان	أبوظبي الإمارات العربية المتحدة
الجبيل المملكة العربية السعودية	استنبول تركيا	أهستردام هولندا
الرياض المملكة العربية السعودية	الدوحة قطر	الدار البيضاء المغرب
المنامة مملكة البحرين	الكويت الكويت	القاهرة مصر
بانكوك تايلند	باكو أذربيجان	باريس فرنسا
برلين ألمانيا	برشلونة إسبانيا	براغ جمهورية التشيك
تيليسي جورجيا	بوكيت تايلاند	بورتو البرتغال
جنيف سويسرا	جاكارتا جمهورية إندونيسيا	تورنتو كندا
روما إيطاليا	دبي الإمارات العربية المتحدة	جوهانسبرغ جنوب أفريقيا
سنغافورة سنغافورة	سان دييغو الولايات المتحدة الأمريكية	زنجان تنزانيا
شيكاغو الولايات المتحدة الأمريكية	نهر الشيخ مصر	سيول كوريا الجنوبية
طوكيو اليابان	طشقند أوزبكستان	طرابزون تركيا
فرانكفورت ألمانيا	عن بعد منصة زووم	عمان المملكة الأردنية الهاشمية



مدن التدريب

كيب تاون جنوب افريقيا	كوالالمبور ماليزيا	فيينا النمسا
لندن المملكة المتحدة	لشبونة البرتغال	لانكاوي ماليزيا
مسقط سلطنة عمان	هدريد اسبانيا	ماربيا اسبانيا
ميونخ ألمانيا	ميلان إيطاليا	مونترو سويسرا
	نيويورك الولايات المتحدة الأمريكية	نيروبي كينيا